



Rutland County Council

Catmose, Oakham, Rutland, LE15 6HP
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Ladies and Gentlemen,

A meeting of the **AUDIT AND RISK COMMITTEE** will be held in the Catmose on **Tuesday, 20th September, 2016** commencing at 7.00 pm when it is hoped you will be able to attend.

Yours faithfully

Helen Briggs
Chief Executive

Recording of Council Meetings: Any member of the public may film, audio-record, take photographs and use social media to report the proceedings of any meeting that is open to the public. A protocol on this facility is available at www.rutland.gov.uk/haveyoursay

A G E N D A

APOLOGIES FOR ABSENCE

1) MINUTES

To confirm the minutes of the Audit and Risk Committee held on 19 July 2016.

2) DECLARATIONS OF INTEREST

In accordance with the Regulations, Members are invited to declare any disclosable interests under the Code of Conduct and the nature of those interests in respect of items on this Agenda and/or indicate if Section 106 of the Local Government Finance Act 1992 applies to them.

3) PETITIONS, DEPUTATIONS AND QUESTIONS

To receive any petitions, deputations and questions received from Members of the Public in accordance with the provisions of Procedure Rule 217.

The total time allowed for this item shall be 30 minutes. Petitions, declarations and questions shall be dealt with in the order in which they are received. Questions may also be submitted at short notice by giving a written copy to the Committee Administrator 15 minutes before the start of the meeting.

The total time allowed for questions at short notice is 15 minutes out of the

total time of 30 minutes. Any petitions, deputations and questions that have been submitted with prior formal notice will take precedence over questions submitted at short notice. Any questions that are not considered within the time limit shall receive a written response after the meeting and be the subject of a report to the next meeting.

4) STATEMENT OF ACCOUNTS

To receive Report No. 118/2016 from the Director for Resources

***NOTE:** The Accounts and Audit (England) Regulations 2015 require the approval of the Statement of Accounts by 30 September. This date falls within the period normally allowed for Members to refer a committee decision to the Council. In accordance with Procedure Rule 110(7), the Committee will be asked to decide that the matter is of such urgency that no referral of the Committee's decision should be allowed.*

(Pages 5 - 108)

5) EXTERNAL AUDIT ISA 260

To receive Report No. 174/2016 from the Director for Resources
(Pages 109 - 142)

6) ANNUAL FRAUD REPORT

To receive Report No. 172/2016 from the Director for Resources
(Pages 143 - 148)

7) INTERNAL AUDIT UPDATE

To receive Report No 176/2016 from the Director for Resources.
(Pages 149 - 164)

8) RISK REGISTER

To receive Report No. 179/2016 from the Director for Resources
(Pages 165 - 180)

9) EXTERNAL AUDIT PROCUREMENT

To receive a verbal update from the Director for Resources.

10) REGULATION OF INVESTIGATORY POWERS ACT (RIPA)

To receive a verbal update from the Director of Resources

11) ANY OTHER URGENT BUSINESS

To receive items of urgent business which have previously been notified to the person presiding.

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DISTRIBUTION

MEMBERS OF THE AUDIT AND RISK COMMITTEE:

Mrs D MacDuff (Chairman)	
Mr J Lammie (Vice-Chair)	
Mr N Begy	Mr E Baines
Miss G Waller	Mr A Walters

OTHER MEMBERS FOR INFORMATION